

HIRERIGHT PROHIBITED PARTIES CHECK

Reveal known terrorists, specially designated nationals, narcotics traffickers and other sanctioned persons by searching relevant databases and watch lists. The HireRight Prohibited Parties Check helps identify individuals not allowed to do business in the U.S. or deemed to be a threat by the Federal government.

Customer Concerns

A prohibited party is generally defined as person (or entity) that is subject to various economic sanctions programs or forbidden from conducting business in the United States, as well as entities subject to license requirements because of their proliferation of weapons of mass destruction. Failure to adhere to the compliance requirements involving prohibited parties could violate Federal law and may result in criminal and/or civil penalties.

HireRight Solution

The HireRight Prohibited Parties Check searches the applicant's name against several U.S. Government watch lists to identify any known prohibited individuals or entities. These lists include specially designated nationals (SDN), specially designated terrorists (SDT), known narcotics traffickers and other parties subject to various sanction programs. The lists searched include:

- **Office of Foreign Asset Control (OFAC)** - OFAC acts under Presidential national emergency powers, as well as authority granted by specific legislation, to impose controls on transactions and freeze assets under U.S. jurisdiction.
- **Specially Designated Nationals, Terrorists, Narcotics Traffickers, Blocked Persons and Vessels** - A list compiled by the U.S. Treasury Department, Office of Foreign Assets Control (OFAC) that may prohibit a transaction if a party on this list is involved.
- **Debarred Parties** - A list compiled by the U.S. State Department of parties who are barred by the International Traffic in Arms Regulations (ITAR) from participating directly or indirectly in the export of defense articles, including technical data, or in the furnishing of defense services.
- **Denied Persons List** - A list of individuals and entities that have been denied export privileges by the Bureau of Industry and Security (BIS). Any dealings with a party on this list that would violate the terms of its denial order are prohibited.
- **Entity List** - Entities subject to license requirements because of their participation in weapons of mass destruction (WMD) programs, involvement in activities sanctioned by the U.S. State Department, or activities contrary to U.S. national security and/or foreign policy interests.
- **Unverified Parties List** - Parties to past export transactions where pre-license checks or post-shipment verifications could not be conducted, and persons in foreign countries in transactions where BIS is not able to verify the existence or authenticity of all parties to the transaction.

- **Palestinian Legislative Council (PLC) List** - Global Terrorism Sanctions Regulations (31 C.F.R. Part 594), authorizes U.S. financial institutions to reject transactions with members of the Palestinian Legislative Council (PLC) who were elected to the PLC on the party slate of Hamas, or any other Foreign Terrorist Organization (FTO)

Benefits

The global reach of terrorism in today's world makes it essential that every applicant is screened against the list of known entities not allowed to work or do business with the United States. Industries especially concerned with export licensure, such as Defense/Aerospace, or industries that employ persons who have access to sensitive proprietary technologies, are excellent candidates to perform this search. Benefits of the HireRight Prohibited Parties Check include:

- ✓ **An extra layer of security to customers concerned with terrorism or U.S. sanctions.**
- ✓ **Compliance with regulations that restrict conducting business with prohibited parties.**
- ✓ **Identification of persons or entities deemed a threat to the United States.**
- ✓ **A safer workplace and compliance with the USA PATRIOT Act.**

Process

The HireRight Prohibited Parties Check may be ordered as part of a package, or a-la-carte, and requires only the applicant's name to perform the search and determine if it appears on a prohibited parties list. If possible records are located, the HireRight Public Research Team will perform follow-up investigation and analysis to determine if the party on the prohibited list is the same individual as the applicant. The HireRight Prohibited Parties Check will return information on any known aliases used by the party and the individual's last known address, when available.

Considerations

Some industries, such as Financial Services, are regulated by the USA PATRIOT Act and the HireRight Prohibited Parties Check can play an essential role in meeting these government requirements. Regulations vary by industry so specific compliance requirements should be evaluated by each organization.

Learning More

For more information about HireRight services, call us at 800.400.2761 in the U.S. and Canada or +1 949.428.5800 worldwide or visit us online at www.hireright.com.

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