



- Screens applicants against lists of known individuals and entities not allowed to work or do business within the United States
- Identifies individuals subject to various exclusions or economic sanctions
- Helps organizations comply with regulations that restrict conducting business with prohibited parties

Why Conduct a Prohibited Parties Search

The Prohibited Parties search screens the applicant against a proprietary database that has aggregated information from several watch lists maintained by the United States Government to identify any known prohibited individuals or entities. A prohibited party search can help identify specially designated nationals, terrorists, narcotics traffickers, blocked persons and vessels and parties subject to various economic sanctioned programs who are forbidden from conducting business in the United States, as well as entities subject to license requirements because of their proliferation of weapons of mass destruction.



Industries concerned with export licensure, such as defense/aerospace, or industries that employ persons who have access to sensitive proprietary technologies, often choose to perform this search. Other industries, such as financial service companies regulated by the USA PATRIOT Act, may use the HireRight Prohibited Parties search to help meet government sanctions and exclusions requirements. Regulations vary by industry so specific compliance requirements should be evaluated by each organization's legal counsel.

HireRight's Prohibited Parties Search

The global reach of terrorism in today's world makes it essential for many employers that every applicant is screened against the list of known entities not allowed to work or do business with the United States. The HireRight Prohibited Parties search conducts a search of a proprietary database that has aggregated data from several U.S. Government watch lists to help identify any known prohibited individuals or entities. The lists aggregated in the database include the following:

- **Office of Foreign Assets Control (OFAC)** - A department of the U.S. Treasury that imposes sanctions against countries, entities, and individuals.
- **Specially Designated Nationals (SDN), Terrorists, Narcotics Traffickers, Blocked Persons and Vessels** - A list compiled by the U.S. Treasury Department, Office of Foreign Assets Control (OFAC) that may prohibit engaging in a transaction with a party on this list.

Prohibited Parties Search

- **Debarred Parties** - A list compiled by the U.S. State Department of parties who are barred by the International Traffic in Arms Regulations (ITAR) from participating directly or indirectly in the export of defense articles, including technical data, or in the furnishing of defense services.
- **Denied Persons List** - A list of individuals and entities that have been denied export privileges by the Bureau of Industry and Security (BIS). Any dealings with a party on this list that would violate the terms of its denial order are prohibited.
- **Office of Inspector General (OIG)** - List of Excluded Individuals/Entities (LEIE) - Office of the Inspector General list of over 43,000 individuals excluded from participation in Medicare, Medicaid, and other Federally-funded health care programs dating back to 1980.
- **Politically Exposed Person List (PEP)** - The Central Intelligence agency publishes names of persons who may have politically damaging information in the public view.
- **Securities and Exchange Commission (SEC)** - Enforcement Actions – Data contains over 24,000 individuals and firms who have had federal court actions and administrative actions taken against them by the Securities and Exchange Commission.
- **Financial Industry Regulatory Authority (FINRA)** - Data contains disciplinary actions issued against firms and individuals for violations of FINRA rules; federal securities laws, rules, and regulations; and the rules of the Municipal Securities Rulemaking Board (MSRB).
- **System for Award Management (SAM)** - Excluded Parties List – Official U. S. Government system that consolidated the capabilities of CCR/FedReg, ORCA, and EPLS. Previously maintained by the U.S. General Services administration (GSA), this list contains over 200,000 parties excluded from Federal Procurement and Non-Procurement Programs. Identifies those parties excluded, throughout the U.S. Government, from receiving Federal contracts or certain subcontracts and from certain types of Federal financial and non-financial assistance and benefits.

If a potential hit is identified via the initial database search, HireRight will conduct an additional search with the primary source.



The HireRight Prohibited Parties search may be ordered as part of a package, or a-la-carte and requires the applicant's first name, middle name or initial, and last name to perform the search.

The search reports information including:

- Known aliases used by the party in connection with their appearance on a aggregated list
- Name of list in which individual appears

Prohibited Parties Search

Global Company, Local Solutions

The HireRight Prohibited Parties search is a U.S. based solution, HireRight also offers a Global Sanction and Enforcement check for screening against global sanctions lists.

How it Works

Prohibited Parties searches are created when requested by the client and may be included within a client's screening package. Each Prohibited Parties search is performed initially by searching our proprietary database. If possible records are located, the HireRight Public Research Team will perform follow-up investigation using all available identifiers by going directly to the exclusionary source to validate if the party on the prohibited list is the same individual as the applicant and to obtain details prior to reporting.

Getting Started

For more information about HireRight's Prohibited Parties Search, visit us at www.hireright.com.

These materials are provided for general informational purposes. They are not intended to be comprehensive and should not be construed as legal advice. HireRight does not warrant any statements in these materials. HireRight's products and services are provided under the terms and conditions of HireRight's screening services agreement and any applicable product-specific addenda, and pursuant to HireRight policies, guidelines and procedures. Please contact HireRight for more information. HireRight's private investigation licenses can be found at: <https://www.hireright.com/legal/license-information/>